



United States
Office of Government Ethics
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Washington, DC 20005-3917

NOV 07 2013

The Honorable Ander Crenshaw
Chairman
Subcommittee on Financial Services
and General Government
Committee on Appropriations
United States House of Representatives
B-300 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

This letter responds to your May 7, 2013, letter inquiring about the U.S. Office of Government Ethics' (OGE) efforts to implement the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) (Pub. L. No. 112-105, as amended), and constitutes OGE's second quarterly spending report on activities related to developing the electronic financial disclosure filing system (e-filing) required by section 11(b) of the Act.

During the period since OGE provided you with its first quarterly report on July 17, 2013, we have continued to work diligently with the \$4.74 million ultimately received for the development of the e-filing system. In addition, as a result of agency-wide fiscal prudence, OGE was able to add over \$52,000 to the e-filing task order at the end of fiscal year 2013 to restore a small portion of the necessary development funds lost through rescission and sequester. As you know, OGE was able to begin work on the system development contract only approximately four months ago. Nevertheless, OGE is pleased to report that we have made progress in building the system's infrastructure and creating a forms-builder tool that will simplify content integration. In addition, contractors have begun work constructing the wizard functionality, which will improve accuracy of financial disclosure reporting as well as a better user experience for filers and reviewers.

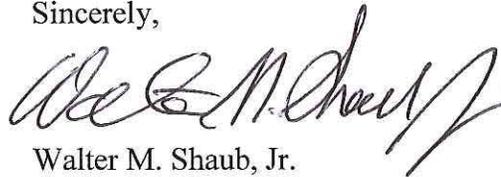
The table below captures the expenditures associated with indicated activities through the fourth quarter of fiscal year 2013.¹

¹ In the initial quarterly letter that OGE submitted on July 17, 2013, we reported expenditures in the amount of \$1,153,000 for the first three quarters of FY 2013, which, when added to the figures in this report, totals \$2,406,000 in development expenditures for FY 2013.

Activity	July (in thousands)	August (in thousands)	September (in thousands)	Total (in thousands)
Architecture	\$79	\$89	\$89	\$257
Engineering	\$132	\$120	\$151	\$403
Project Management	\$42	\$35	\$22	\$99
Requirements Analysis	\$34	\$30	\$33	\$97
Security	\$17	\$26	\$28	\$71
Shared Infrastructure & Services	\$20	\$23	\$35	\$78
User Experience	\$79	\$84	\$82	\$245
Quality Assurance	0	0	\$3	\$3
Total				\$1,253

OGE will continue to provide quarterly spending reports on the implementation of the e-filing system mandated by the Act. Please feel free to contact Shelley Finlayson, Program Counsel, at 202-482-9314 if you require additional information.

Sincerely,



Walter M. Shaub, Jr.
Director